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 <b>UNITED STATES PATENT AND TRADEMARK OFFICE</b> JUL 13 2001		COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov	
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
05/23/01	05/30/2001	David R. Friedman	10519/18

BRINKS HOFFER GILSON & JONE  
 P.O. BOX 10395  
 CHICAGO, IL 60610

**RECEIVED**  
 MAY 21 2001  
 U. S. DOCKET

**CONFIRMATION NO. 6544**  
**FORMALITIES LETTER**  
  
 \*OC00000006069146\*

Date Mailed: 05/11/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/16/2001 SSANDARA 00000005 09823489

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I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on July 11, 2001

Date of Deposit

Joseph F. Hetz, Reg. No. 41,070

Name of applicant, assignee or  
Registered Representative

Signature

July 11, 2001

Date of Signature

Case No. 10519/18

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

David R. Friedman et al.

Serial No.: 09/823,489

Filed: March 30, 2001

For: Method for Field-  
Programming a Solid-State  
Memory Device with a  
Digital Media File

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts


Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated May 11, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$170 for:
- ☐ Filing Fee of \$\_\_\_\_.
- ☒ Surcharge of \$130.
- ☐ Additional Claim Fees of \$\_\_\_\_.
- ☒ Recordation of Assignment Claim Fees of \$40.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Recordation of Assignment; Assignment; Information Disclosure Statement; Form PTO-1449; 39 cited references; and return postcard.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: July 11, 2001

  
\_\_\_\_\_  
Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
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rev. Dec.-99



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TRANSMITTAL LETTER			Case No. 10519/18
Serial No. 09/823,489	Filing Date March 30, 2001	Examiner	Group Art Unit 1258
Inventor(s) David R. Friedman et al.			
Title of Invention METHOD FOR FIELD-PROGRAMMING A SOLID-STATE MEMORY DEVICE WITH A DIGITAL MEDIA FILE			

TO THE COMMISSIONER FOR PATENTS

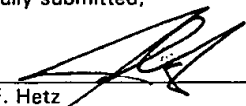
Transmitted herewith is Response to Notice to File Missing Parts of Application; copy of Notice to File Missing Parts; Declaration for Patent Application; Power of Attorney; \$130 check for declaration surcharge; Recordal of Assignment; Assignment; \$40 check for recordation fee; Information Disclosure Statement; Form PTO-1449; 39 cited references; and return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9 =			x \$18 =	
Indep.		Minus			x 40 =			x \$80 =	
First Presentation of Multiple Dep. Claim					+ \$135 =			+ \$270 =	
					Total add'l fee	\$		Total add'l fee	\$


- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$130 to cover the declaration surcharge and a check in the amount of \$40 for the recordation fee are enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on July 11, 2001.

Date: July 11, 2001 Signature: 

rev. Dec.-00  
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